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CERTIFICATE OF MAILING

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*[Signature]*  
Attorney for Applicant

PATENT APPLICATION

Docket No. 3302.2.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

FEB 28 2002

TECH CENTER 1600/2900

Applicants: Laixin Wang

Serial No.: 09/996,507

Filing Date: November 28, 2001

For: POLYCATIONIC WATER SOLUBLE COPOLYMER  
AND METHOD FOR TRANSFERRING  
POLYANIONIC MACROMOLECULES ACROSS  
BIOLOGICAL BARRIERS

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) Group Art  
) Unit:  
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

This Information Disclosure Statement discloses information which has come to the attention of applicant and/or his attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), this Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

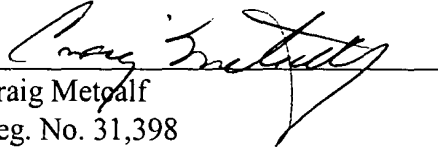
Neither applicant nor his attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or

103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98, this Information Disclosure Statement includes and is accompanied by:

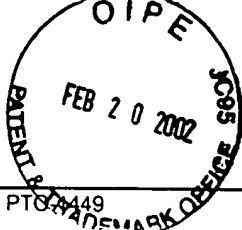
1. A completed copy of Form PTO-1449 listing the patents, publications and other information being submitted for consideration; and
2. A legible copy of each patent, publication and other item of information in written form listed on the enclosed Form PTO-1449.

Respectfully submitted,

  
Craig Metcalf  
Reg. No. 31,398  
Attorney for Applicant

Date: January 29, 2002

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Page 1 of 1

FORM PTO-449 <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (use several sheets if necessary)	SERIAL NO. <b>TECH CENTER 1600/2900</b> 09/996,507	ATTORNEY DOCKET NO. 3302.2.1
	FILING DATE November 28, 2001	GROUP ART UNIT
	APPLICANT(S): Laixin Wang	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

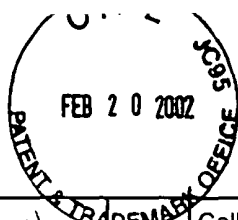
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	20,010,005,717	06/28/2001	Wagner		04/17/2000

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	A3	Akiyama, Yoshitsugu, et al., "Synthesis of Poly(ethylene glycol)-block-poly(ethylenimine) Possessing an Acetal Group at the PEG End," Macromolecules, 2000, 33, 5841-45.
	A4	Abdallah, Bassima, et al., "A Powerful Nonviral Vector for In Vivo Gene Transfer Into the Adult Mammalian Brain: Polyethylenimine," Human Gene Therapy 7, 1947-54, October 20, 1996
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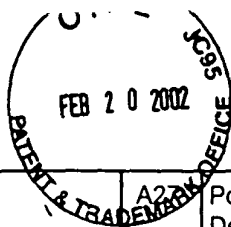
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A26	Park, Y.K., et al., "Galatosylated Chitosan-Graft-Dextran as Hepatocyte-Targeting DNA Carrier," Journal of Controlled Release, 69, 2000, 97-108

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